Administrative procedures and the manner of their codification change over time, which also applies to the basic principles related thereto. The article presents the development of such principles in national APAs of Slovenia (from 1999), the Czech Republic (2004), Croatia (2009) and Hungary (2016), in line with EU development guidelines, particularly Article 41 of the EU Charter of Fundamental Rights that envisages the right to good administration. The basic principles embedded in national APAs constitute value-based guidelines that apply both to the drafting and to the interpretation of rules relevant for any type of administrative decision and any stage of procedure. The author finds that more recent APAs in Central and Eastern Europe present an evident trend towards governing the administrative procedure and the basic principles more comprehensively, with due account of the more contemporary elements, such as proportionality among principles and cooperation among authorities. This points to a positive surpassing of the historical legacies of European development, although at the same time there is evidence of interference with the administrative procedure as a tool of democracy, mainly as a result of political aspirations or trends to increase the efficiency of public policies. Hence, in the Member States, classical and modern principles should be codified and interpreted holistically in the light of the values of the EU.

**Keywords:** administrative procedures, basic principles, APA, Central and Eastern Europe, good administration, Europeanisation

### 1. Introduction

The demanding and changing societal environment brings the necessity of public administration reforms (PAR) in various aspects. This is even more emphasised in Central and Eastern Europe (CEE), which can be attributed to the still ongoing transition resulting in PAR inconsistencies, implementation gaps, problems regarding compliance with European Union (EU) law, and, generally, in a search for balance between the rule of law and other classical principles on the one side, and modern principles – such as efficiency, transparency, participation – on the other.¹

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Within PAR in general, the modernisation of administrative procedure and of the basic law regulating the latter—i.e. the (General) Administrative Procedure Act or Code (APA)—is given different levels of attention and different solutions are proposed. This leads to an increasing convergence of the APA principles across the EU and in CEE in particular (see Nehl, 1999, p. 80; Statskontoret, 2005, pp. 7, 71; Rusch, 2014, p. 193; Galetta et al., 2015; Barron & Günther, 2018). Therefore, Europeanisation—i.e. the process of cross-border integration and standardisation, particularly within CEE as a result of EU enlargement—should be taken into account in any analysis or national reform relating to PA, both in political and legal terms.

This is evident also from the ReNEUAL research and the draft EU Regulation for an Open, Efficient and Independent EU Administration (draft EU Regulation), adopted by the European Parliament in 2016 (more in Hofmann et al., 2014). A significant basis for such is the Charter of Fundamental Rights of the EU (EU Charter) in force since 2010, in particular Article 41 on the right to good administration.

At the EU level, administrative procedure has been evolving into a dialogue tool between the state and the citizens, which replaces the purely hierarchical authoritarian relation characteristic thereof in the past decades. Furthermore, there have been trends to make public policies more efficient through APAs, particularly in terms of red tape reduction. This is reflected especially through a series of (new) APAs and related principles, as elaborated further on for the selected four CEE countries. However, while some “new democracies”—i.e.

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2 Europeanisation, as understood generally and for the purpose of this article, is the process of building, disseminating and institutionalising (in)formal rules, procedures, paradigms, modi operandi and different beliefs and norms when drafting and implementing public policies in the EU and transposing them to the national level (cf. Nemec, 2016; Cardona & Freibert, 2007; Rusch, 2014; OECD, 2017).

3 Adopted by the European Parliament under the Resolution for an open, efficient and independent EU administration (2016/2610(RSP)) in June 2016.

4 EU Charter, Official Journal of the EU, No. C 83/389, 30. 3. 2010. Article 41 reads: “1. Every person has the right to have his or her affairs handled impartially, fairly and within a reasonable time by the institutions and bodies of the Union. 2. This right includes: the right of every person to be heard, before any individual measure which would affect him or her adversely is taken; the right of every person to have access to his or her file, while respecting the legitimate interests of confidentiality and of professional and business secrecy; the obligation of the administration to give reasons for its decisions. 3. Every person has the right to have the Community make good any damage caused by its institutions or by its servants in the performance of their duties, in accordance with the general principles common to the laws of the Member States. 4. Every person may write to the institutions of the Union in one of the languages of the Treaties and must have an answer in the same language.” In addition, see EU Ombudsman Code of Good Behaviour; Statskontoret, 2005. See also Council of Europe, Recommendation CM/Rec(2007)7 to member states on good administration.

5 On (the shift to) administrative procedure as a tool for the implementation of public policies, see McCubbins et al., 2007. Similarly in CEE (Koprić & Đulabić; Skulova & Potšiš, 2017; Kovač & Bilešiš, 2017) or the Western Balkans, such as the Albanian APA of 2015 with “the principle of de-bureaucratisation” (more in Koprić et al., 2016).
new EU MS since 2004 –follow those trends and have indeed redefined their APAs, others seem rather reluctant to do so.

The article offers a comparative study of selected CEE countries and their APAs, aimed to identify (i) the key similarities and differences regarding APAs’ principles among the selected CEE countries, and (ii) the degree of compliance of national laws with the draft EU Regulation and CJEU case law. The hypothesis put forward is that more recent codifications, i.e. APAs, reflect greater European convergence in the sense of focusing on good administration rather than the classical fundamental principles.

The analysis covers Slovenia, the Czech Republic, Croatia, and Hungary, where administrative procedure is codified through the APA (or GAPA as General APA or AP Code) as lex generalis. These countries are highly comparable because of their shared history and general legal system, while on the other hand there is already evidence of the different paths taken thereby (Galligan et al., 1998; Rusch, 2014; Skulova & Potěšil, 2017; Kovač & Bileišis, 2017). The research problem addressed by the article is thus manifold, opening questions such as: what are the key PA/R concepts (e.g. good administration combining the Rechtsstaat doctrine and new public governance) that serve as a framework for APAs modernisation; to what extent do national APAs follow European minimal standards and trends; does Europeanisation play a key role or only a declaratory one, etc. The article first outlines the significance of the general principles of administrative procedure, followed by a detailed comparative analysis of selected countries’ APAs that entered into force between 2000 and 2018. Both chapters present a parallel elaboration of the principles (a) among the countries and (b) at the national level compared to the EU level. Finally, some insights into possible future developments are put forward.

2. Fundamental Principles of Administrative Procedure as a Contextual and Methodological Framework of Comparative Analysis

Generally speaking, legal principles are value-based criteria arising from theory, caselaw, constitutional guarantees (at the national level or arising from the EU Charter) and laws, which are applied to regulate and, in particular, to interpret codified legal rules. Yet, there is a difference between when a norm is considered a principle and when it is considered a rule, as

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well as whether it is a general/basic or special principle. Another outstanding issue is whether and how basic principles can be made operational. This means that basic principles must be sufficiently general and not too user-oriented or too specific, as this would prevent assessment or evaluation, while the rules – which constitute a lower legal category – serve more or less for the mere implementation of the principles.

As many basic principles interact and complement each other, any collisions between them must be interpreted comprehensively and the contradictions among the parties “in dispute” surpassed (for example, the _prima facie_ contrary principles of legality and efficiency should be interpreted jointly in order to satisfy both). In such regard, principles always derive from the context of the area they regulate. In terms of administrative procedure, this means confronting the public interest with the legally protected interests of private parties whose rights, benefits or obligations are being decided on, whereby the public benefit takes precedence (Pavčnik, 2001, p. 87, Jerovšek, 1998, p. 53, 65).

Basic principles are a very important part of the legal and administrative system. Their purpose is multifaceted and can be summarised into the following points (Jerovšek, 1998, p. 54; Galetta et al., 2015, p. 7). First, basic principles ensure a correct and proper application of substantive law. Second, regardless of the specifics of the administrative area, they provide a minimum uniform standard of procedural protection of the parties, which is usually already a national constitutional rule; similar is the position taken by the draft EU Regulation. Third, they provide a framework for the correct interpretation of individual institutions and rules, particularly in relation to procedural discretion (Jerovšek & Kovač, 2017, pp. 38-40). Altogether, these principles constitute a reference point to assess the legality of issued administrative acts; failure to comply therewith can therefore serve as a reason to file legal remedies (Koprič et al., 2016; Hofmann et al., 2014, pp. 140ff).

When the principles constitute the normative part of a regulation, their significance is even more accentuated (Statskontoret, 2005, p. 72). They also provide for legal certainty, as they also clarify the frameworks of the subsequent rules in the same act. The principles can be either enshrined in the preamble or written in the form of articles, in both cases providing a direct legal basis for their implementation and indirectly reflecting in the reasons for legal remedies or judicial review.

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8 On the relevant Slovenian practice see Kovač et al., 2016. Theoretically, Kerševan & Androjna, 2017, pp. 62–63: “Anyway, the application of one principle does not exclude the application of another; it is only about applying different value-based criteria from different aspects at the same time and in the same case.”
In addition to the above, it seems worth pointing out that although specific substantive issues are regulated at the EU level, the Member States have a certain degree of procedural autonomy (more in Nehl, 1999; Galetta, 2010; Kovač, 2016). Yet, the latter is limited by the EU principles, primarily by equivalence and effectiveness in terms of implementation of EU law. This is particularly relevant for the topic under consideration, as it calls on individual countries to search for a balance between the general/supranational common regulation and the specifics of a particular administrative tradition and area, the current status of public administration and the country’s political goals. This is also the reason for the differences occurring—despite the common development guidelines for national APAs—also in the regulation of the basic principles of administrative procedure, where the Treaties do not provide the necessary grounds for the supremacy of EU law.9

Hence, it is not surprising that there is no uniform set of basic principles, neither generally nor for administrative procedures or relations/matters, although some convergence can be observed at the national level, driven by the acts of the Council of Europe and the EU and the trend of Europeanisation. Let us therefore start by considering which basic EU principles are highlighted by our four sources, which will also serve for the further comparative analysis of national APAs. These include elaborated principles under the EU Charter, the 2013 Resolution of the European Parliament10 as a precursor of the draft EU Regulation of 2016, and case law of the CJEU. Table 1 shows an overview of such principles with an emphasis on the common features of the selected sources11 in order to draw up a list of the “key” EU principles and find out how administrative regulation is “Europeanised” in national laws.

Table 1. The principles of administrative (procedural) law in the EU

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>Art. 2 of the Treaty on</td>
<td>1. Lawfulness/</td>
<td>Legality; Rule of law</td>
<td>(18), rule of law,</td>
</tr>
</tbody>
</table>

9 On the lack of legal bases for EU procedural competence, see Galetta, 2010, pp. 10ff. On common administrative procedure law due to the de minimis rule, see Hofmann et al., 2014. Cf. a number of CJEU cases regarding administrative matters (in addition to judicial ones), for example Kühne-Heitz, C-453/00, 13 January 2004, Kapferer, C-234/04, 16 March 2006, Tillack v Commission, T-193/04, 4 October 2006, Commission v Slovakia, C-507/08, 1 May 2009, Pelati v Slovenia, C-603/10, 18 October 2012, H.N., Danqua v Ireland, C-604/12, 8 May 2014, Grauel Rüffer v Pokorná, C 322/13, 27 March 2014, Târșia v Romania, C-69/14, 6 October 2015. Case law is not completely uniform, and it generally seems that there are more cases and arguments in favour of autonomy than restrictions. In this regard, it is worth mentioning the acte clair doctrine, stating that when in doubt whether national law is compatible with EU law, the dilemma needs to be solved, even if the national court needs to submit a preliminary question to the CJEU.


11 For example, Galetta et al., 2015, pp. 6, 17, 20, explicitly point out that 20 parallel principles have been identified through EU case law, but there is no hierarchy between them and some principles are broader and include or directly overlap with the rest.
<table>
<thead>
<tr>
<th>EU, Preamble, Art. 20, equality</th>
<th>legality</th>
<th>legality, also (37), (42), etc.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Art. 41, 20, 21, non-discrimination</td>
<td>2. Non-discrimination, equal treatment</td>
<td>Equal treatment and non-discrimination (38), use of languages</td>
</tr>
<tr>
<td>Art. 52</td>
<td>3. Proportionality</td>
<td>Proportionality (19), proportionality</td>
</tr>
<tr>
<td>Art. 20, 21, also 41</td>
<td>4. Impartiality (&amp; 3. under Rec 4)</td>
<td>Impartiality (20), (26), impartiality</td>
</tr>
<tr>
<td>Partly Art. 20, 41</td>
<td>5. Consistency and legitimate expectations</td>
<td>Legitimate expectations; Legal certainty (35), (41), legitimate expectations, (42) legal certainty, also (37), (5), (23)</td>
</tr>
<tr>
<td>Art. 8, protection of personal data, 41</td>
<td>6. Respect for privacy</td>
<td>Data protection (40), protection of personal data, also (29)</td>
</tr>
<tr>
<td>Art. 41, also 48, right of defence</td>
<td>7. Fairness</td>
<td>Fairness (20), fairness</td>
</tr>
<tr>
<td>Art. 42, access to documents</td>
<td>8. Transparency</td>
<td>Transparency; Access to information/document s (35), (39), transparency, also (2), (29)</td>
</tr>
<tr>
<td>Art. 41</td>
<td>9. Efficiency and service</td>
<td>Duty of care; Data quality (11), (44), efficient, independent PA, (24) care, (2), efficiency, responsiveness</td>
</tr>
<tr>
<td>Rules/rights (Rec. 4):</td>
<td>1. Initiation upon request or ex officio 2. Acknowledgement of receipt</td>
<td>22), to acknowledge receipt of the application</td>
</tr>
<tr>
<td>Art. 41, also 48</td>
<td>4. The right to be heard</td>
<td>Participatory democracy; Hearing (28), right to be heard, also (29) and (25)</td>
</tr>
<tr>
<td>Art. 41, 42, also 48</td>
<td>5. The right to access one’s file</td>
<td>Access to the file/info/documents (29)</td>
</tr>
<tr>
<td>Art. 41</td>
<td>6. Time-limits</td>
<td>Timeliness (20), (23), (30), timeliness</td>
</tr>
<tr>
<td>Art. 41, also 47, 48</td>
<td>7. The form of decisions; 9. Notification; 8. Stating reasons; 10. Indication of the remedies</td>
<td>Reason giving; Effective remedy (31), state clearly the reasons, (33), indication of remedies, also (34) judicial remedy</td>
</tr>
<tr>
<td>Art. 47, effective remedy &amp; fair trial, 41, 43, Ombudsman</td>
<td>Recommendation 5: the correction of errors via remedies</td>
<td>Effective remedy (32), effective remedy</td>
</tr>
<tr>
<td>MS cooperation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Art. 41, et simile</td>
<td>Good administration</td>
<td>Good administration (3), (10), (12)…. good administration</td>
</tr>
</tbody>
</table>

Sources: EP Resolutions; EU Charter; Nehl, 1999; Hofmann et al., 2014; Galetta et al., 2015

As suggested by Table 1, drawing up a uniform list brings about a series of questions, starting with the dilemma over what is a “truly” general principle in strictu sensu and what is merely a fundamental right. In this context, the concept/principle or right to good
administration is particularly relevant, as theory and caselaw argue that it is not a single principle, but rather a complex set of principles and rights (Bousta, 2013; Galetta et al., 2015, pp. 9, 18-20). Moreover, account should be taken of the difference between substantive and procedural aspects of principles. Yet nevertheless, considering the trends in the EU and with the aim of maximising inclusivity by type of administrative act and definition of administrative matters and categories of the same level, it is possible to identify some common basic EU principles of administrative procedure. These can be divided into classical and modern principles.

A) *Classical principles* include, in particular:

1. legality and equality with legal certainty and impartiality; with simultaneous protection of the public interest and the rights of the parties (service, concern); in such sense, also independence and autonomy of administrative authorities within the prescribed competences and powers;
2. substantive truth, taking into account true data and the participation of the parties, which is the actual basis for legality;
3. right to be heard, including access to the file, service, etc., which, given the superiority of the administration, enables a democratic protection of the dignity of the parties;
4. legal protection through efficient remedies and judicial review;
5. economy of procedure in terms of cost and time.

B) *Modern principles* include:

6. transparency, i.e. access to information/file, proportionately with data protection;
7. responsiveness and decisions within a reasonable time, rather than classically short and cheap procedures;
8. cooperation between administrative authorities and public administrations.

If we are to talk about good administration, both sets of principles must apply. Thus, for example, the classical right to be heard is upgraded with the principles of participatory

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12 In this context, efficiency, according to Weber, is to be understood as compliance with the objectives of legal regulation (Pirnat, 1993). Hence: if the purpose of legal remedies and judicial review is to ensure legality – i.e. simultaneous protection of the public interest under substantive law and the rights of the parties – it is necessary to provide operational support to both protected categories. Therefore, any administrative decision should include a full reasoning and an indication of legal remedies.

13 There are as many definitions of “modern” principles as there are authors. For the purpose of this article, modern principles are the principles that have recently evolved with the concepts of good governance and good administration (more in Sever et al., 2014; Kovač et al., 2016). For CEE, the OECD principles disseminated through Sigma are important in such respect. In 1998, for example, the Sigma working paper highlighted the following four principles: rule of law and legal certainty, openness and transparency, accountability, and effectiveness and efficiency. These principles considered more than just a minimum standard and thus set the obligation of results (Cardona & Freibert, 2007, p. 52; Skulova & Potěšil, 2017).
democracy and the rights of defence (Nehl, 1999, p. 70) but can be also limited to protect public interest.

A principle is generally considered *lex imperfecta* and there are no direct sanctions for violations of the primary disposition (Pavčnik, 2001, p. 84). Yet, in order to examine whether the regulator has been consistent, this criterion is used to distinguish between (only) declaratory and explicitly operational protection of the principle by the use of legal remedies (Jerovšek, 1998, pp. 55, 57, 59; more Koprič et al., 2016). Broadly speaking, however, other principles of administrative or procedural law also need to be taken into account, together with systemic constitutional and administrative guidelines, which are not the subject of this article. Nevertheless, for the purposes thereof, I will consider as a basic principle of the national APA any guideline or codified norm:

(i) which can be found in the introductory section of the APA, generally under the heading “principles/s”, with due consideration that national laws do not have a preamble like the draft EU Regulation;

(ii) which applies as a basic principle for all (special) administrative procedures and not just for a specific area (e.g. prevention in matters related to inspection, or special child care in family matters), and for all stages of procedure (e.g. publicity, which is provided by law but does not apply in all stages of procedure, or proportionality with regard to enforcement); 

(iii) where violations of the principle are specified among the reasons for appeal.

The basic characteristics relevant for the analysis of selected countries are listed in Table 2.

*Table 2. Characteristics of selected CEE countries relevant for the analysis of their APAs*

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Slovenia</th>
<th>Czech Republic</th>
<th>Croatia</th>
<th>Hungary</th>
</tr>
</thead>
<tbody>
<tr>
<td>First APA</td>
<td>Austrian 1925, then Yugoslav (YU) 1956/1986</td>
<td>1928, then 1967 (with several amendments)</td>
<td>Austrian 1925, then YU 1956/1986</td>
<td>Austrian, than its own 1957 (with amendments), 2004</td>
</tr>
<tr>
<td>Major PA legacy</td>
<td>Austrian &amp; YU</td>
<td>Austrian, Soviet, Visegrad</td>
<td>Austrian &amp; YU</td>
<td>Austrian, Soviet, Visegrad</td>
</tr>
</tbody>
</table>

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14 This is particularly important when delegating powers outside the state administration to different agencies in order to preserve the democratic limitation of authority despite the respective agencies being rather autonomous (McCubbins et al., 2007, pp. 19–30).
The countries concerned have a shared Austrian and post-socialist/communist history, comparable legal system characteristics (constitution and *Rechtsstaat* orientation), and a PAR aiming at EU membership with a combination of Weberian and New Public Management approaches. As shown by the table, there are no major differences between these countries in political, economic or legal terms. Their APAs all promote single-case administrative decision-making, which enables a relatively objective comparison, which however only applies to the regulatory level. For more, especially in case of new acts, further time is needed, although certain phenomena (such as the actions by the Hungarian authorities being challenged at the EU level, for example, with interventions in the system of breaks and balances between various branches of power; Kohenov & Bard, 2018; cf. McCubbins et al., 2007) suggest that progress is being made. Likewise, account should be taken of implementation gaps, which can be considered a constant in CEE (Galligan et al., 1998; Kovač & Bileišis, 2017).

### 3. Comparative Analysis of Principles in Selected National APAs

The characteristics of administrative procedure codification vary over time. Thus, in more recent laws, regulation is more concise and the number of provisions is lower (for example, the Slovenian law, which is 20 years old, contains 325 articles, while the most recent Hungarian law in force since last year has only 144 articles, see Table 3). In addition, the majority of recent laws regulates administrative relations more comprehensively, which also

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15 E.g. the Croatian or Hungarian APAs (Rozsnyai, 2019, p. 9) explicitly regulate not only individual administrative acts, but also administrative contracts and acts that are not, in a narrow sense, issued in an administrative procedure. Likewise, also other APAs are applicable in such cases *mutatis mutandis*, e.g. Article 4 of the Slovenian APA. Cf. Galligan et al., 1998, pp. 17ff; Nehl, 1999, pp. 71–90, 127–165; Auby, 2014, pp. 8ff; Hofmann et al., 2014.

16 Cf. Sever et al., 2014; Koprić et al., 2016; Skulova & Potěšíl, 2017. A comprehensive approach is also seen in the recent amendments to or modernisation of the APA and the administrative dispute acts (ADA).
implies a broader range of basic principles. These are defined more generally and purposefully, whilst further elaborated at an operational level by individual rules. Such characteristics of development of the APA in CEE are in line with the trends recorded throughout the EU or in most of the Member States (see Hofmann et al., 2014, pp. 12ff; Auby, 2014; Rusch, 2014, p. 200).

Furthermore, the complexity of basic principles has been increasing. The classical belief that a principle binding an administrative body is simultaneously a right of the party no longer applies; instead, the rights and the participation of the parties and even authorities intertwine (Barron & Günther, 2018, p. 5). At the same time, authorities are entitled and obliged to pursue the target value of each principle and of all principles together, especially when it comes to good administration, which is considered as a set of rights of the parties and thus of obligations of the authorities. The reasons for the above include an increasingly difficult legal determination of dynamic real life situations, which also leads to less tangible substantive provisions (Galligan et al., 1998, p. 29; Kovač, 2016, p. 434). Yet, as exposed by Kochenov & Bard, 2018, p. 22: “Procedural principles cannot possibly replace the lack of substantive attention to the core values encompassed by Art. 2 TEU, including the Rule of Law, threatening to cause justice deficit of the Union”; especially with EU law, since it is “functioning differently: there is a whole other set of principles that actually matter and are held dear: supremacy, direct effect, and autonomy are the key trio coming to mind.”

The above is also a reflection of general PARs. New trajectories have been identified in CEE particularly in terms of New Public Management, with elements such as emphasised care for citizens’ needs and cost efficiency, and the Neo-Weberian State with the preservation of the basic principles of administrative law. All the above is related to the traditional Weberian public administration by the principles of good governance (Venice, 2011; Sever et al., 2014; Kovač et al., 2016; OECD, 2017).

Let us now take a closer look at the principles at the national level. Despite elements of convergence, the premises and the list of principles in the selected four countries vary considerably. To start with, they differ in number, which does not match the trend of APA concerning judicial review over administrative decisions, e.g. in Croatia and Hungary (Koprič & Dulabić, 2009, pp. 27ff; Rozsnyai, 2019).

17 Nehl, 1999, pp. 28–55; Bousta, 2013; see also cases Tillack and H. N., from 2006 and 2014 respectively.

18 According to Barron & Günther, 2018, pp. 2–3, some issues of codification of administrative procedure in the 27 countries covered by their study – mostly on the rights of the parties and determination of facts, i.e. in relation to the right to be heard and material truth – are solved “almost unanimously”, which they attribute to the common background of EU law and “common sense”, followed by common convictions. Yet, they add: “Yet, quite a few questions were answered differently, sometimes showing a somewhat similar basis
provisions decreasing over time (see Table 2). This is somewhat logical as it involves norms of different weight. Yet in the context of good administration, modern principles are to supplement the traditional ones, rather than replacing or reducing such (as is the case of Hungary, with only five principles in the law applicable since 2018). In the selected countries, however, modern principles are added to traditional ones. This is seen both when comparing previous and applicable laws in an individual country (Table 1) and when comparing currently applicable laws among the countries (Table 3).

In Slovenia, the APA contains nine basic principles in Articles 6 to 14, some of which could be combined—similarly to comparable regulations—into one principle (as seen in Table 1 for EU principles).

Although the initial provisions (Art. 3, 4) define a subsidiary use of the APA in relation to special laws, the latter cannot interfere with the above principles due to Article 22 of the Constitution providing for equal protection of rights. More so, basic principles apply *mutatis mutandis* in all public law matters insofar as procedure is not regulated by a special law. Following national caselaw, this applies in particular to legality—in the sense of measures being based on law—and the principle of hearing the parties as an obligation of the authority to provide to the party the right to be heard and to participation from the beginning of the procedure to the application of legal remedies. In addition to the principles or in order to implement such, certain instruments are of constitutional importance since they directly refer to constitutional guarantees or are interpreted as such by the national constitutional court; examples thereof include use of language and access to information (Kovač, 2016, pp. 454ff).

The Czech law defines seven principles in Sections 2-8. Although Section 1 provides for the supremacy of sector-specific laws, the principles in the section “Basic principles” serve as the framework for all procedures. The first principle, also on the basis of the Constitution, is legality, with an emphasis not only on other regulations in the country, but also on international treaties (e.g. the EU Charter), whereby authorities are stimulated act in “good faith” in terms of a balanced protection of the public interest and the legal interests of the...
parties. This principle is complemented by Section 7 with a particular emphasis on equality and impartiality. 20 Also worth mentioning is Section 4 stating that public administration provides “service for the public”, 21 which implies the protection of the rights of the parties in general and special procedural rights of defence under Article 41 of the EU Charter. As opposed to other APAs, the Czech APA in Sections 5 and 7 explicitly refers to alternative or peaceful dispute resolution and cooperation between authorities.

Croatia supplemented its fundamental principles in the new, 2009 APA. Today, the Croatian law contains ten principles listed in Articles 5–14, (again) starting with legality. Although new principles were added, their number is still lower than in the previous, Yugoslav APA. For example, the list of principles no longer contains finality, while the hearing of the parties at such level was turned into (merely) a rule. 22 On the other hand, legality is emphasised by special principles, such as proportionality and protection of acquired rights under Articles 6 and 13 of the APA (Šikić & Ofak, 2011). In view of the novelties introduced by the 2009 APA, sector-specific regulations must now be amended and aligned with the basic principles (sic!), especially since the new APA does not explicitly define its subsidiary application. In sum, the Croatian APA definitely took an interesting approach, combining traditional regulation (such as the Yugoslav APA) and modern approaches. The law is sometimes regarded as partly inconsistent, as it introduces new institutions yet still preserves some rather unnecessary “old” provisions and formalistic interpretations (more in Koprić & Dulabić, 2009; Derda in Auby, 2014, pp. 107ff; Rusch, 2014, p. 211). Furthermore, attention is being paid to data gathering and protection (Art. 11). In such context, Croatia is the country that comes closest to the EU (cf. Nehl, 1999).

In its most recent law, under the title “Basic principles”, Hungary defined five basic principles in Sections 2–6. The first provision emphasises that the role of such principles is fundamental, especially the constitutional right to good administration (Art. XXIV) and the right to legal...
remedy (Art XXVIII), and that these principles apply to all stages and all areas.\textsuperscript{23} Here, too, the first principle listed is legality, with an explicit reference – similarly to the Croatian and partially Czech APAs – to “good faith” of the public administration and reasonable time for decision. A special principle in Section 3 provides the basis for the protection of the public interest through procedures \textit{ex officio}; additionally, Section 6 speaks of “good faith” of the parties (not the authorities). This shows a different trend than the one observed when studying from the Slovenian, Czech and Croatian APAs.

\begin{table}[h]
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\begin{tabular}{|l|c|c|c|c|}
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\hline
No. of APA articles & 325 & 184 & 171 & 144 \\
No. of articles containing basic principles & 9 & 7 & 10 & 5 \\
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\textit{EU principles –classical*} & & & & \\
Legality, equality \textit{et simile} & Art. 6, 7, 12 & Sec. 2, 7 & Art. 5, 6, 7, 13 & Sec. 2, 3 \\
Material truth & Art. 8, 10, 11 & Sec. 3 & Art. 8, 9 & Sec. 6 \\
Right to be heard & Art. 9 & Through rules, partly in Sec. 4 & Through rules, language in Art. 14 & Sec. 5 \\
Legal protection / appeal & Art. 13 & Only through rules and ADA & Art. 12 & Only through rules and ADA \\
Economy & Art. 14 & Sec. 6 & Art. 10 & Sec. 4 \\
\hline
\textit{EU principles –recent*} & & & & \\
Transparency & Only through rules & Only through rules & Art. 11 & Only through rules \\
Timeliness & Partly Art. 14 & Only through rules & Partly Art. 10 & Partly Sec. 2 \\
Cooperation with the party, between authorities and EU MS & Only through rules & Sec. 5, 8 & Through rules, but also contracts & Through rules, but also contracts \\
Together: good administration & Theory & case-law & Sec. 4, theory & case-law & Theory & case-law & Art. XXIV of Constitution/case-law? \\
Assessment of compliance with EU principles & Rather traditional, compliance in theory and case law & Compliant & Compliant, yet partly inconsistent & Compliant, but the trend shows an increasing power of the Executive and the question of EU values \\
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\end{tabular}
\end{table}

\textsuperscript{23} Balogh-Bekesi & Pollak, 2017, p. 31, also referring to the explanatory memorandum of the APA. It should be noted here that Hungary adopted a new constitution in 2012 and carried out a fairly centralist revision of a number of systemic laws, including – in addition to the APA – also the ADA, which the national Constitutional Court first considered unconstitutional, while even various EU bodies identified the controversy of some interventions (more Rozsnyai, 2019, pp. 12, 19ff; more Kochenov & Bard, 2018; cf. Venice, 2016).
Table 3 confirms the similarity between national APAs. They all, for instance, consider legality in a broad sense – i.e. not only in terms of subject matter and procedure, but also in the context of the rule of law, e.g. with an emphasis on the prevention of corruption, impartiality, legal certainty and the like (more in Venice, 2016). Thus, legality can be understood as the eternal primary principle of national APAs with constitutional and international significance (Galetta et al., 2015). At the same time, it is important to draw attention to the main differences, which can be attributed to both the impact of (the accession to) the EU and to national peculiarities, depending on the time and context of adoption of the new APAs. Yet nevertheless, the selected countries tend to follow the APA and the unwritten EU principles recognised by theory and case law. As regards the PAR model, governance in Slovenia is (still) very Weberian, with authorities prevailing over individual parties, but at the same time features some fundamental democratic guarantees. Modern principles in the Czech Republic and Croatia reflect a higher degree of NPM (e.g. cooperation and contracts) and broader good public governance, but we do not know how much thereof is declaratory and how much it is actually implemented in practice. Although the analysed countries belong to the same circle (Statskontoret, 2005, pp. 74ff), adopting a new law once does not suffice to surpass the legal/administrative traditions. Yet nevertheless, it is indeed a sign of development, also raising awareness among the parties and the authorities about how to interpret the new, abstract provisions.

On the other hand, an increasingly authoritative approach is observed in Hungary. The consequences for the rule of law in Hungary are drastic: all the principles invoked by the ECJ to justify giving EU law the upper hand (Opinion 2/13) are procedural, while the problems that the reliance on the ECHR is there to solve are substantive; curing substantive deficiencies of the EU legal order with the remedies confined to autonomy and direct effect is a logical flaw plaguing the EU legal system (Kochenov & Bard, 2018, p. 25).

In order to understand the importance of procedure as a tool of democracy, it is particularly worth emphasising the principle of being heard, since it is often considered – under the influence of more managerial approaches – merely as a rule or a formal right. This is not true. The right to be heard is very important as it holistically establishes a democratic authority
despite the prevalence of the public interest towards the parties.\footnote{McCubbins et al., 2007, pp. 3, 16, stress that even the American APA of 1946 guarantees “fairness in administrative operation” and “the effectuation of the declared policies of Congress”. Therefore, even the classical right to be heard is more a principle (of participation) than a rule (Nehl, 1999, pp. 11, 109–115).} The aim of administrative activity in the sense of good administration is to resolve conflicts between public and one or more private interests, thus promoting the efficiency of public policies and limiting authority through the participation of the parties. In authoritative procedures, the consensus on the subject matter of procedure between equal parties is replaced by the expectation that the superior authority will make a well-reasoned decision; hence, the procedure is a tool of democracy (Venice, 2011, pp. 6ff). Based on this “classical” principle, alternative approaches are being developed, such as the specific principle of cooperation under Section 5 of the Czech APA. The right to be heard is therefore a key element of good administration, directly leading to the understanding of procedure as a tool to establish dialogue and ensure the acceptance of and the compliance with decisions, and thus legal protection. On the other hand, this principle/right has several other elements to ensure the exercise of the (sub)rights encompassed thereby.\footnote{By analogy to the “right” to good governance, which involves not one but several (sub)rights (Bousta, 2013; same in Galetta et al., 2015, pp. 6ff). More on the analysis of the principle of hearing the parties in Slovenia compared to selected foreign APAs in Jerovšek and Kovač, 2017, p. 53.} These include, in particular, (i) the right to information (EU Charter, Art. 42 and 41), (ii) the use of official or own language (special principle under the EU Charter, Art. 41, and Croatian APA, Art. 14), (iii) the right to being served a decision prior to the act taking effect, (iv) the right to a reasoned decision (EU Charter, Art. 41, and rules in all national APAs), and (v) constitutional, even international and legal rights to appeal, other legal remedies and judicial protection (Art. 41 & 47 EU Charter, more Koprić et al., 2016; Venice, 2016). In certain cases (urgent measures in the public interest or economy of procedure), this principle may be partly restricted with preclusions.\footnote{Cf. Statskontoret, 2005, pp. 36ff. E.g. the same principle is subject to restrictions under the APA as it may be perceived differently under the APA than in civil proceedings (Kerševan & Androjna, 2017, p. 88) or in an administrative dispute (Rozsnyai, 2019, p. 13). Also, one needs to distinguish the right to be heard from the principle of material truth, as the first serves to protect the party from the (abuse of) authority, which is the core of formal legality, while the latter is intended to establish true facts for decision regardless of the source or means of evidence in order to achieve material legality (Jerovšek & Kovač, 2017, pp. 32ff).} As the instrumental nature of the procedure has been surpassed, the significance of these procedural guarantees is to be evaluated by the gravity of the consequences of their possible violation for the addressee of the authoritative decision. Consequently, the classical procedural guarantees presented here are increasingly often understood as a substantive or constitutionally protected right at the level of principle.
As regards the criterion of the principles being covered by appeal (see Section 2 of this paper, point iii before Table 2), we assume that a principle has greater weight if violation thereof is determined by the national APA as an independent reason for appeal.²⁷ It is of course clear that violation of the principles and fundamental procedural rights constitutes an interference with legality, followed (or not) by the principles of material truth, examination of the parties, and timeliness. For example, the Croatian law states in Article 107 that the subject of the appeal is a question of legality of the contested decision. Similarly is provided by the Czech and Hungarian laws, although the complainant must indicate why the act is being challenged, while further rules should specify the facts and evidence (the principle of truth), administrative inactivity or silence (the principle of timeliness), as well as the interference with impartiality, the right to be heard (the principle of hearing the parties) and alike. Slovenia has taken a somewhat different approach. Article 237 of the APA defines the reasons for appeal that explicitly cover the following fundamental principles: (i) legality (e.g. misapplication of substantive law and substantial procedural defects), and (ii) material truth through an incompletely or incorrectly established state of facts. Among the procedural defects that constitute independent reasons for appeal and are verified *ex officio* even if the party gives a different reason, a direct reference to the principles can be found in the infringement of (iii) the principle of being heard and some other rights of defence (representation, use of language), impartiality, and (iv) the principle of an effective legal remedy, in so far as the decision cannot be tested, for example when it does not contain due reasoning.

As we can see, the basic principles and the reasons for appeal cannot be fully paired, as violation of economy generally does not lead to a successful appeal and, for example, impartiality is not explicitly listed among principles. A comprehensive interpretation is thus required. For such reason, a more detailed analysis of the Slovenian text of the law compared to other texts does not imply a difference in the level of protection of principles. A more detailed breakdown can be beneficial for legal certainty, but detrimental to the understanding of the principles as value-based guidelines and to the development of the necessary restrictions and sectoral specifics.²⁸

²⁷ For a more comprehensive overview, extraordinary legal remedies should also be analysed, e.g. the Croatian APA sanctions the violation of the (new) principles of proportionality and acquired rights (Šikić & Ofak, 2011, pp. 136, 141ff). For the countries between Austria and Albania see Koprič et al., 2016.

To end with the premise about the EU influence on national APAs, it is worth pointing out that Europeanisation mainly reflects in horizontal governance,\(^{29}\) which also includes administrative procedure law, be it in its narrower or broader definition. Although one of the principles of administrative procedure law is the national autonomy of the Member States, in order to ensure the principles of effectiveness and equivalence of the acquis, increasing attention is devoted to the convergence of the protection of the rights of the citizens and economic, non-governmental and other entities in relation to public administration. This is evident from strategic documents and, in particular, the key sector-specific EU legal sources, as well as from the comparison of the selected four APAs. On the other hand, it seems that for certain countries or individual principles (for example, transparency), disparities are increasing or that political-administrative processes are changing their hitherto course. In the future, parallel processes of even greater convergence can be anticipated, both voluntarily by national governments and through the further development of CJEU standards. At the same time, specific shifts are expected, which will require more or less “forced” international and judicial involvement to ensure the same minimum level of the rule of law in all Member States (Galetta et al., 2015, Venice, 2016; Kochenov & Bard, 2018). Therefore, Europeanisation of administrative procedure law does not involve a single model or unified administrative procedure, but rather such legal regulation and its implementation in national APAs and administrative and caselaw that will enable the formulation, dissemination and implementation of European administrative principles.\(^{30}\)

It is nevertheless worth pointing out some recommendations for regulating the principles in national laws, particularly in CEE. First, the national regulator should review compliance with the trends in the EU (regulations, policy papers, caselaw, and theory). Then, in case of major deviations and in line with the national PAR strategy, the basic principles should be redefined. Greater attention should be paid to the rules and to violations being covered by legal remedies. Second, when codifying administrative procedures in general, the scope of the basic principles under the APA should be studied in order to cover a wider scope of administrative activities and acts. Last but not least, the non-formalistic layout and interpretation are of key importance.


\(^{30}\)Therefore, Europeanisation is sometimes considered (a) in a narrow sense, as increasing influence of EU law on the national level, and (b) \textit{in latu sensu}, in the sense of a European administrative area, as a convergent development of national systems based on common principles of administrative law and good administration (več Cardona & Freibert, 2007; Rusch, 2014; Kovač et al., 2016; Koprič et al., 2016; Nemec, 2016, etc.).
4. Conclusions

The comparison of the development of the basic APA principles in selected CEE countries brings to several conclusions; some are expected, others less. There is certainly no doubt about the importance of the basic principles of administrative procedure in general, since most countries and the EU at the supranational level strive to codify such, although they are by definition relatively abstract value-based guidelines. But the content of codified principles changes and complements over time as a result of various social, political, legal and economic reasons. A common trend in the selected countries is their convergence with the principles and rules of the EU, although some still preserve their country specifics. This points to a positive surpassing of historical legacies in the context of European development, although at the same time there is evidence of interference with the administrative procedure as a tool of democracy, due to political aspirations or de-bureaucratisation requirements of the economy.

Another common denominator is complementing the traditional Rechtsstaat principles with more modern ones, in the sense of greater partnership among all stakeholders in administrative relationships. This confirms the initial hypothesis that more recent APAs reflect greater European convergence in the sense of focusing on good administration oriented principles. In addition to the basic principle of legality, a major focus is given to transparency and efficiency. However, all these principles should be considered as pieces of the same mosaic, since good administration means both efficient public policies and democratic authorities.

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